

Boundary tones in German *wh*-questions and *wh*-exclamatives: a cluster-based approach

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Background:

Cluster analysis and its application to F0 contours

- Datasets of recorded speech: collections of small continuous differences
- Goal of annotation: assigning **coarse-grained** phonological categories to pitch contours
- Any given dataset contains **more interesting variation** than is visible in the annotation.
- **Cluster analysis** can help investigate this variation by:
 - Focusing on surface form of F0 contour
 - Reducing the impact of annotators' subjective impressions

- Full details in Kaland (2021)
- Type of CA that we use: **hierarchical clustering** (i.e. no pre-specified number of clusters)
- Interactive, iterative process:
 1. Cluster analysis
 2. Inspection of (interim) results
 3. (Adjusting the number of clusters / subsetting the data / ...)
 4. Cluster analysis → inspection → ...
- Interpretation of results requires domain knowledge.

The clustering app

The screenshot shows a web browser window displaying a Shiny application. The browser's address bar shows the URL `http://127.0.0.1:7244`. The application interface is divided into two main sections. On the left, there is a control panel titled "Choose datafile" which includes a "Browse..." button, a "Separator" section with radio buttons for "comma" (selected) and "tab", a "StringsAsFactors" section with radio buttons for "true" and "false" (selected), a "File encoding" section with radio buttons for "UTF-8" (selected) and "UTF-16", and a "SkipNul" section with radio buttons for "true" and "false" (selected). Below these options is a note: "Before uploading: select correct file properties above." and a Creative Commons (CC) license logo. On the right, there is a navigation bar with tabs for "Status", "Data (long)", "Dendrogram", "Table", "Plot", "Evaluate", and "Data (wide)". The "Data (long)" tab is currently selected. A "Publish" button is visible in the top right corner of the application window.

The app is available at:
<https://constantijnkaland.github.io/contourclustering/>

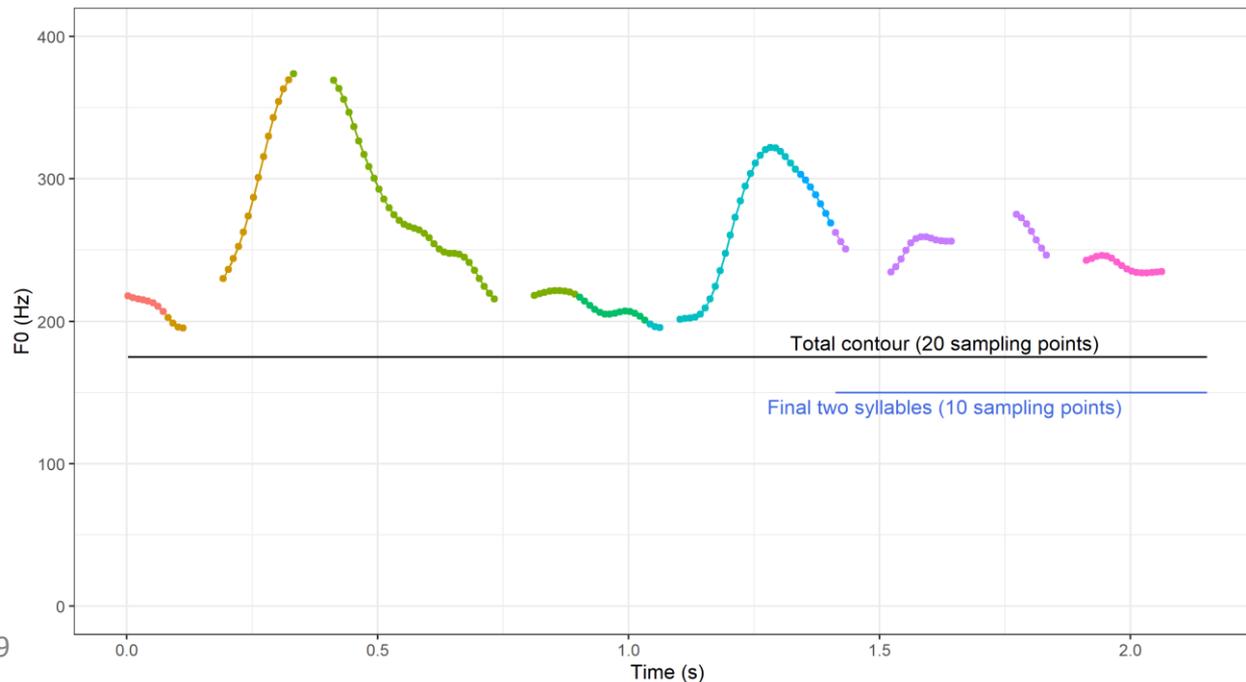
- Praat textgrids delineating the unit of analysis:
 - Whole utterance(s)
 - Specific words
 - Final n syllables of the utterance
 - ...
- Today's case study: **two units** of analysis combined
 - Whole utterance
 - Final two syllables
- Each contour thus belongs to two clusters.

Case study:
Boundary tones in German
***wh*-questions and *wh*-exclamatives**

- Production study (read speech) on German *wh*-exclamatives (1) and (embedded) *wh*-questions (2):
 - (1) **Wo die schon überall Sirenen getestet hat!**
'The many places where she has already tested sirens!'
 - (2) (Weißt du zufällig,) **wo die schon überall Sirenen getestet hat?**
'(Do you happen to know) where she has already tested sirens?'
- The study is a follow-up to Repp (2020).

Object of the analysis: plateaus

- During annotation, we noticed in the data:
 - Many **medium-high plateaus**
 - Many contours with **ambiguous final falls/rises**
- Could cluster analysis help tease these apart?



GToBI inventory of plateaus

- GToBI describes two **non-rising plateaus**:

Level	4	(L+)H* H-(%)		Incompleteness Ritual expression	ANdererSEITS... ⁶ <i>But then again...</i> Guten MOR gen! ³ <i>Good morning!</i>
Stylised Step Down	7	(L+)H* !H-%		Calling contour	BE CkenBAUer!

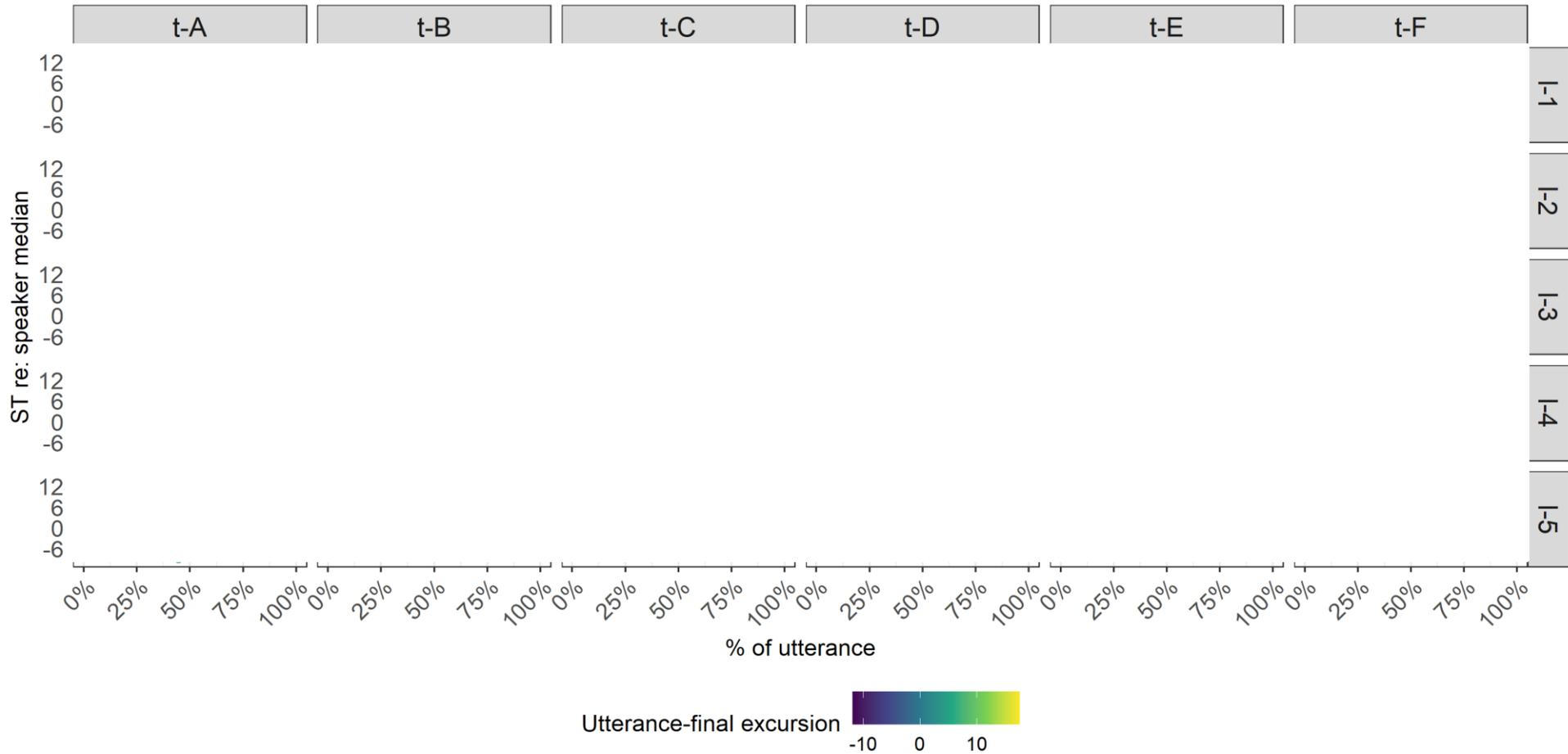
from Grice, Baumann & Benz Müller (2005)

- A **late-falling** plateau is considered, but said not to occur in Standard German:

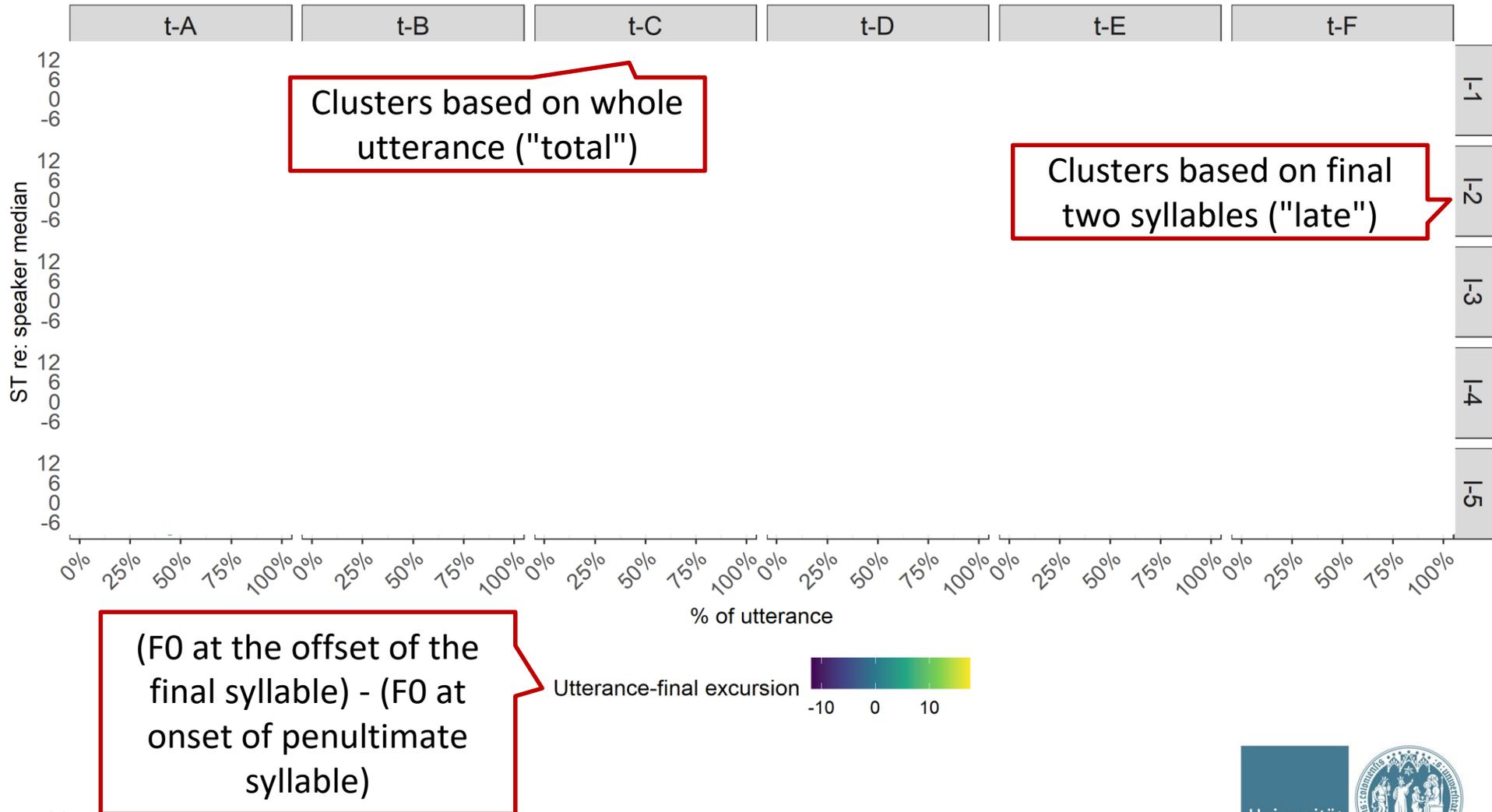


from Grice & Baumann (2002)

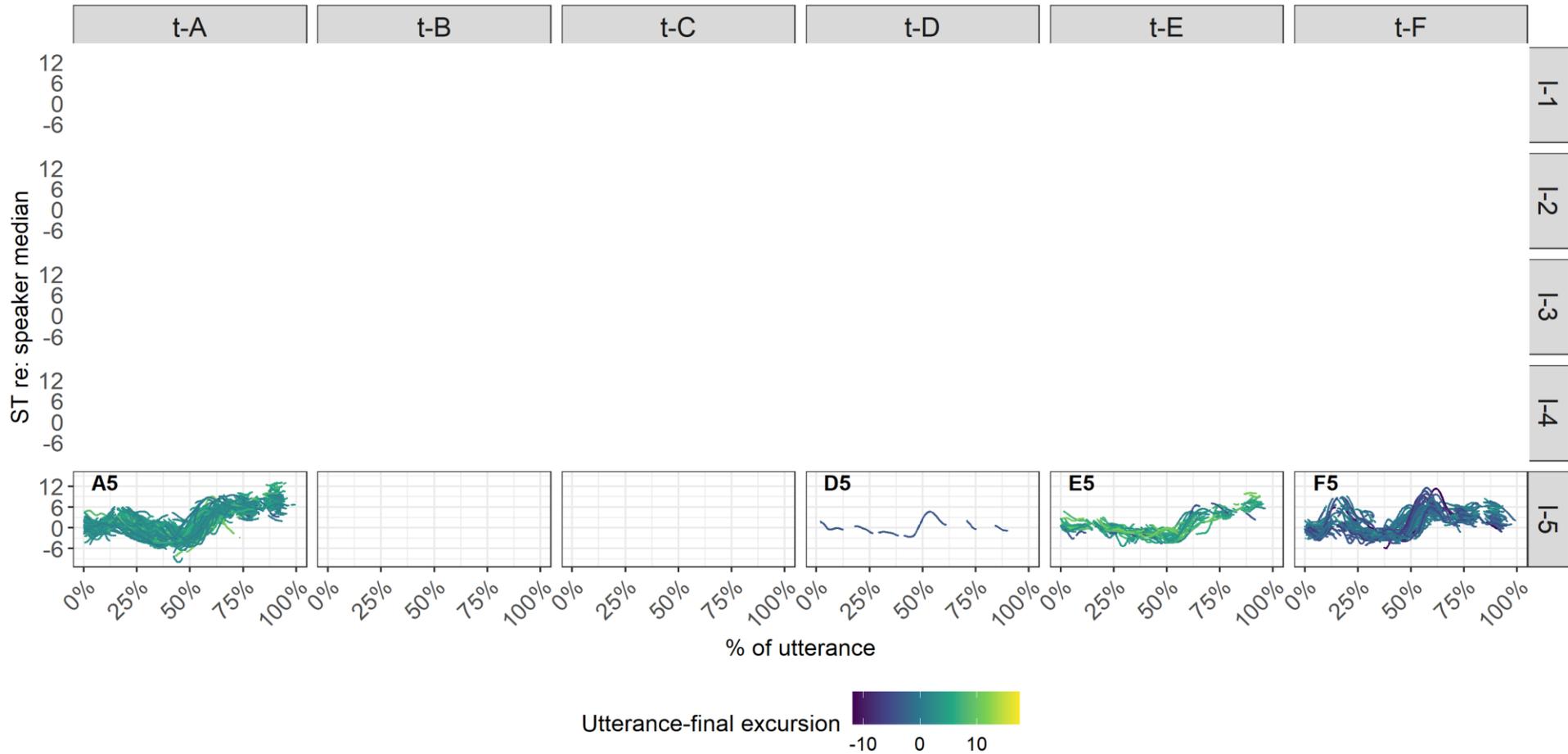
All clusters: overview



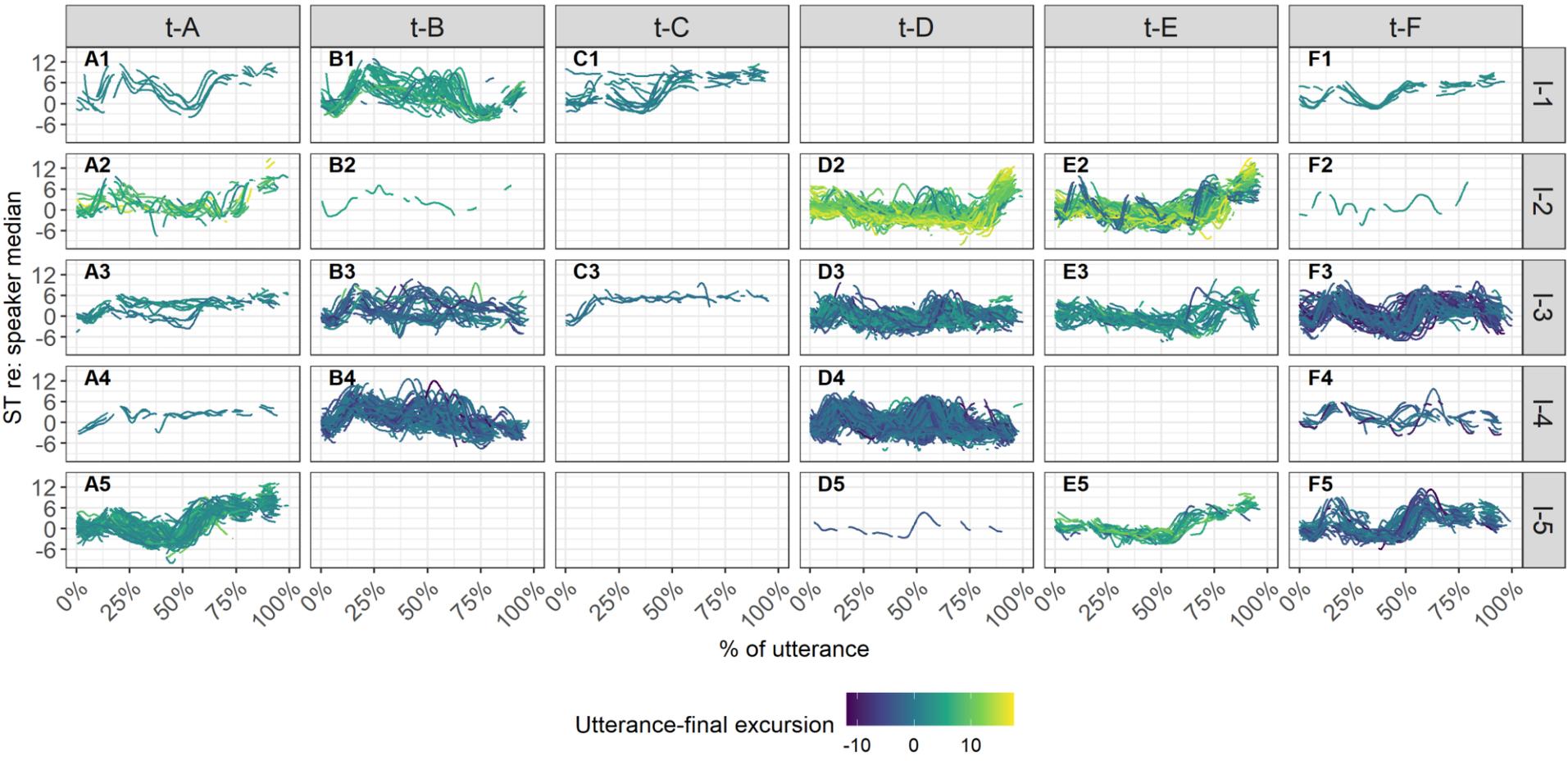
All clusters: overview



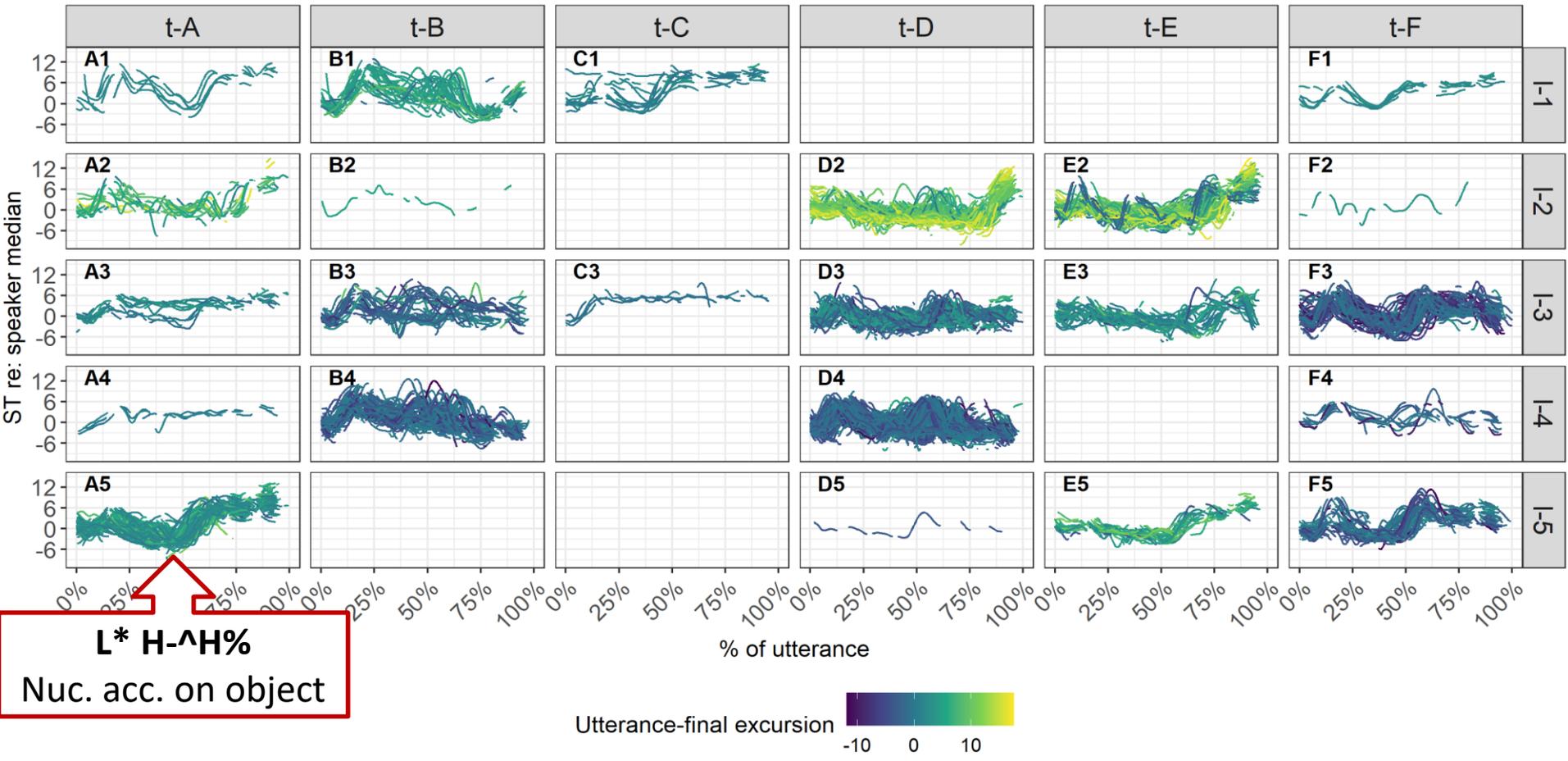
All clusters: overview



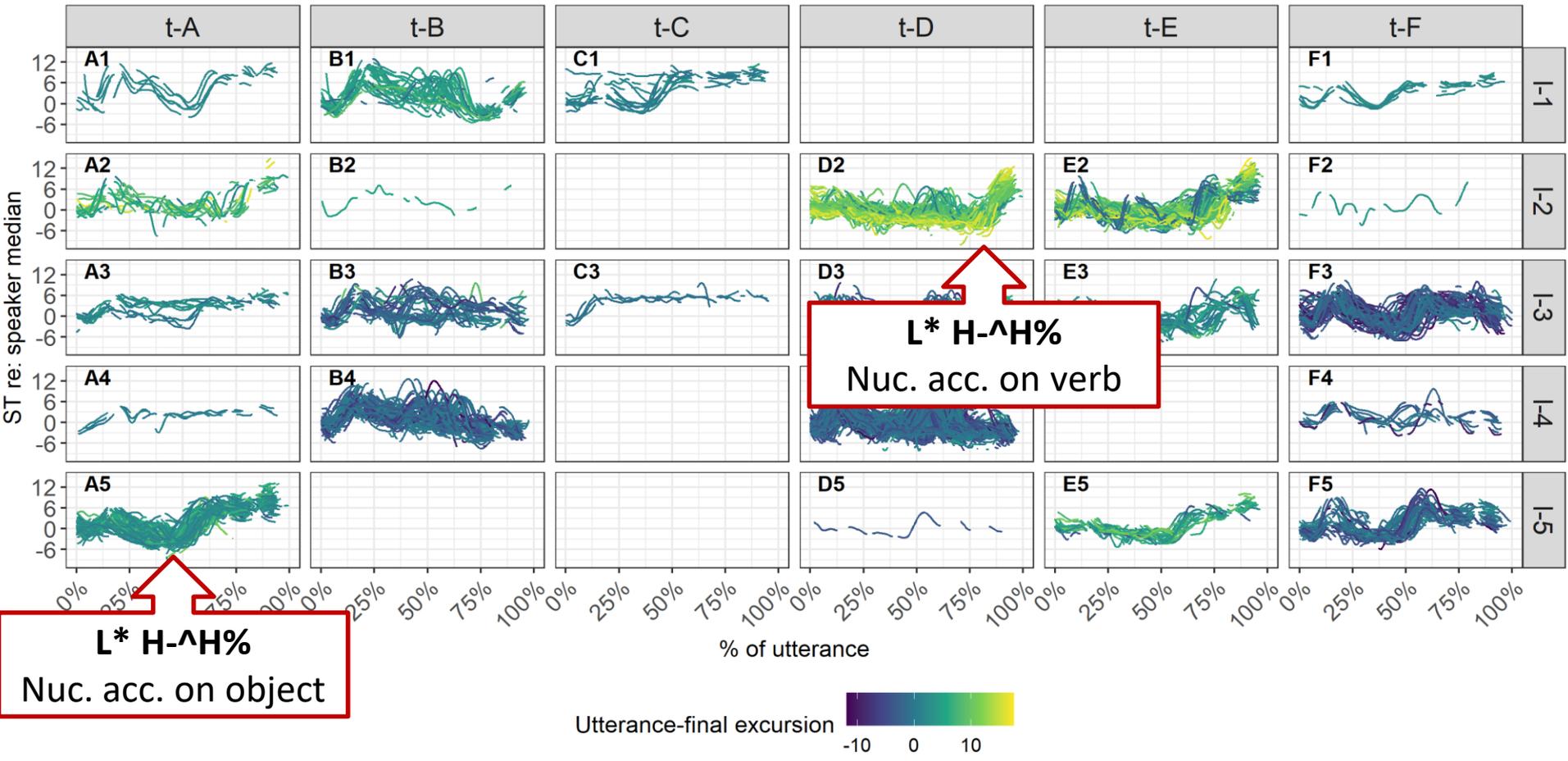
All clusters: overview



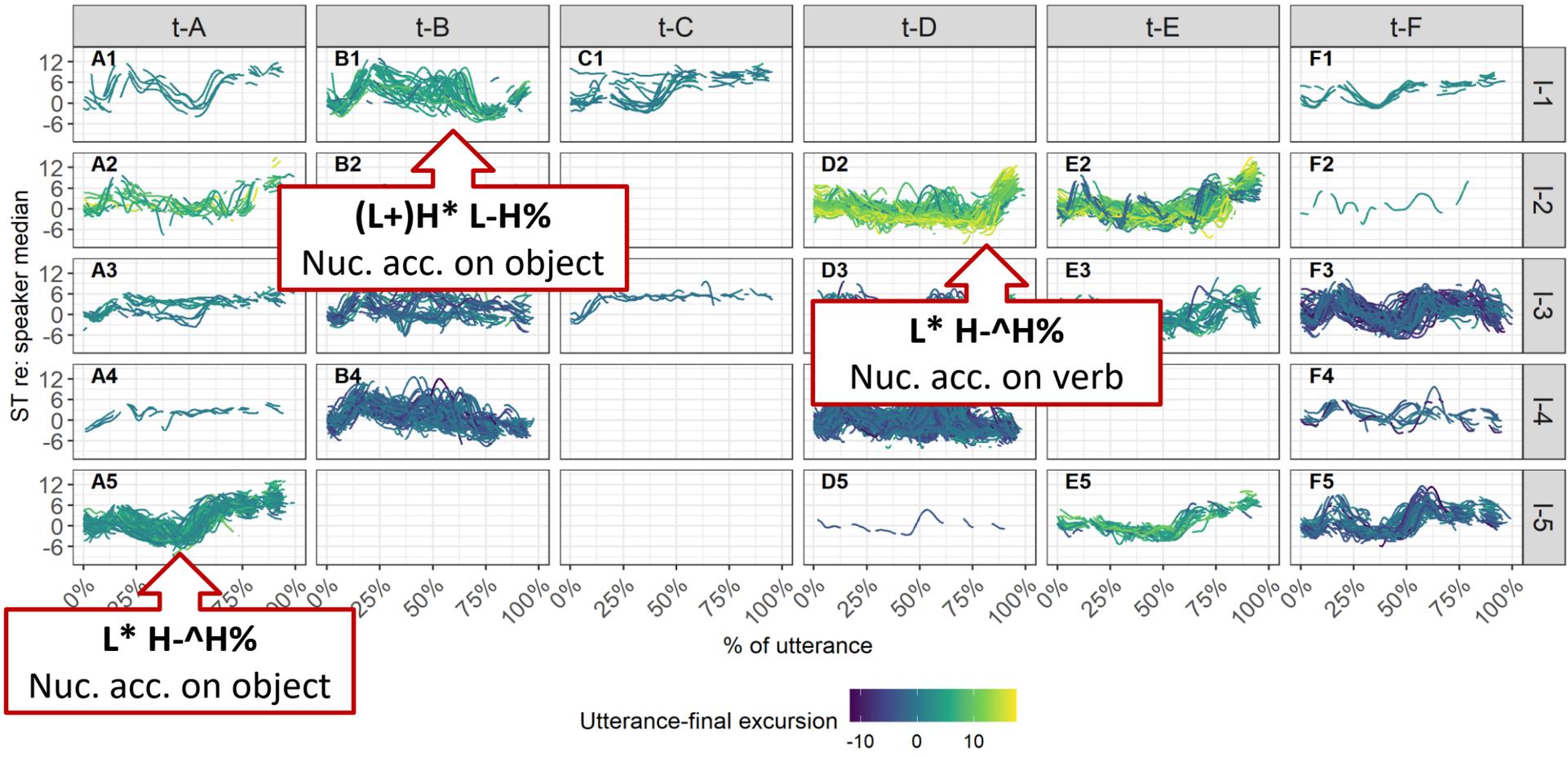
All clusters: overview



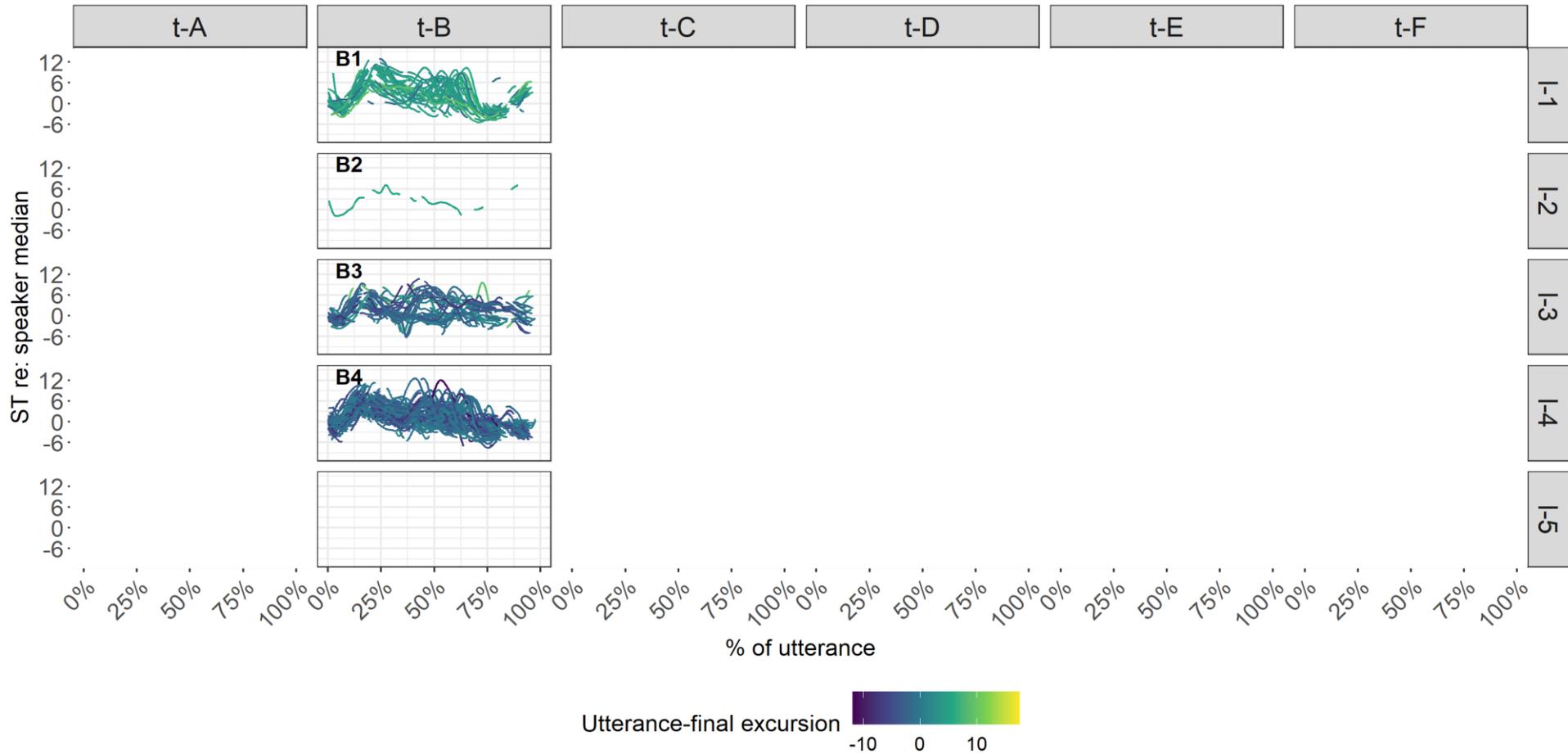
All clusters: overview



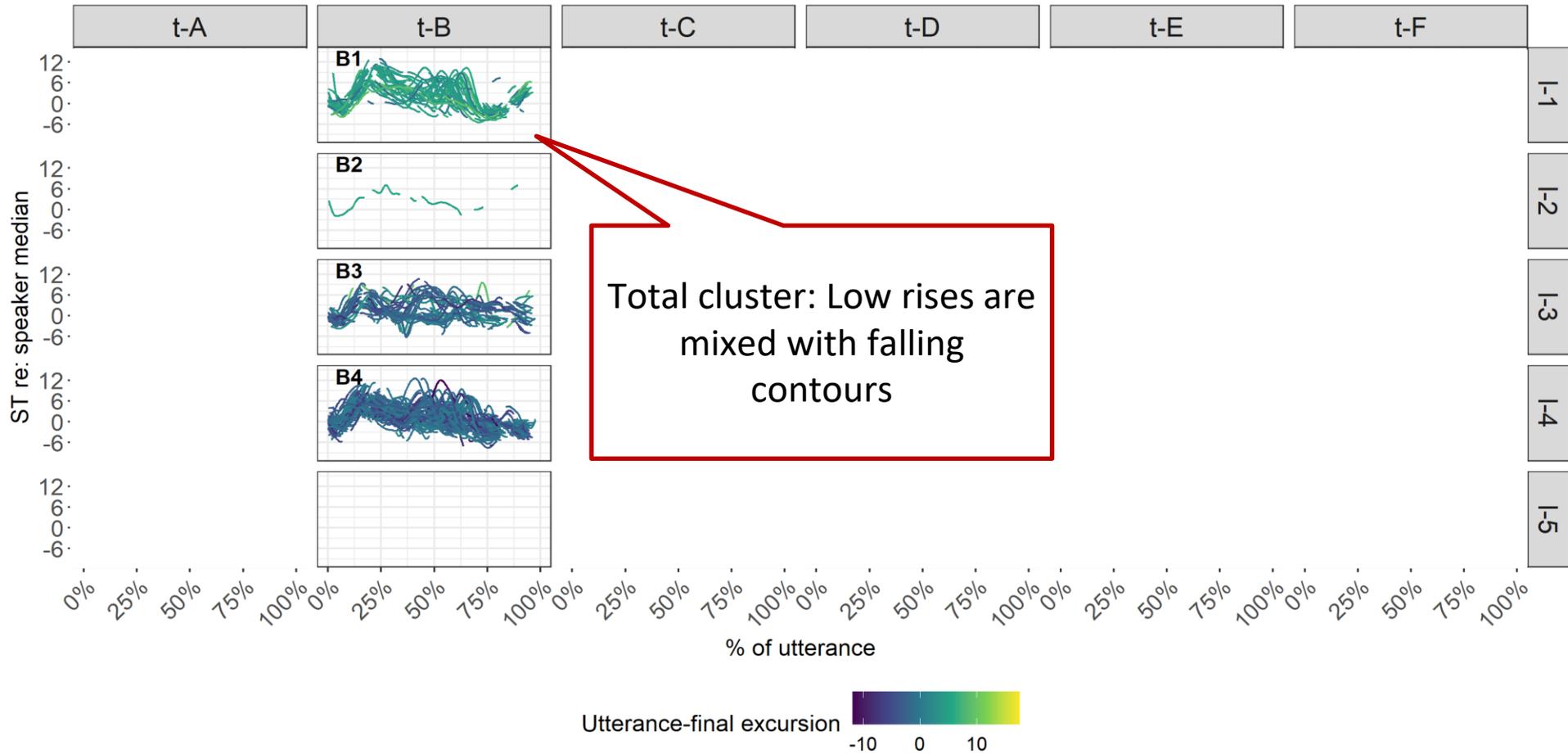
All clusters: overview



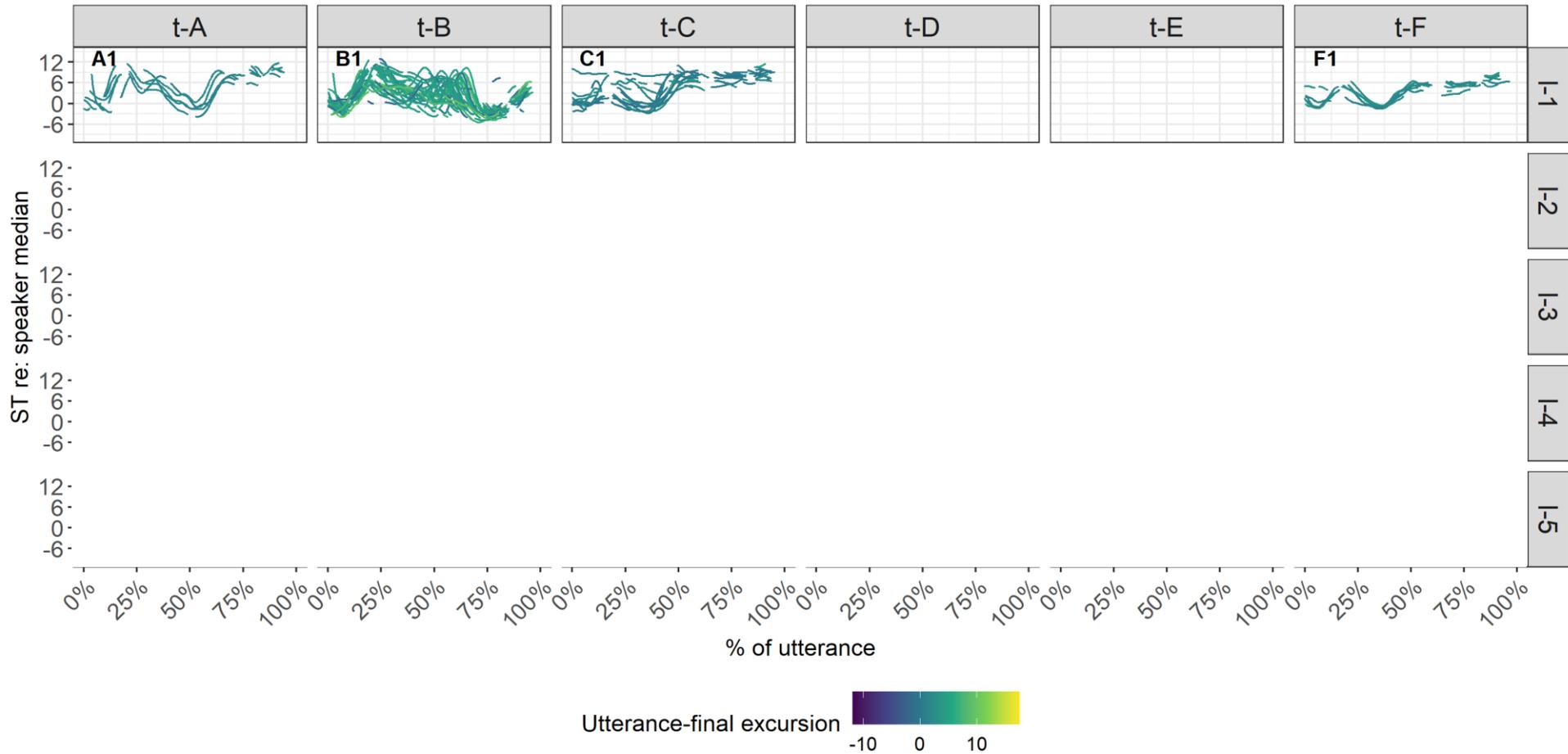
All clusters: overview



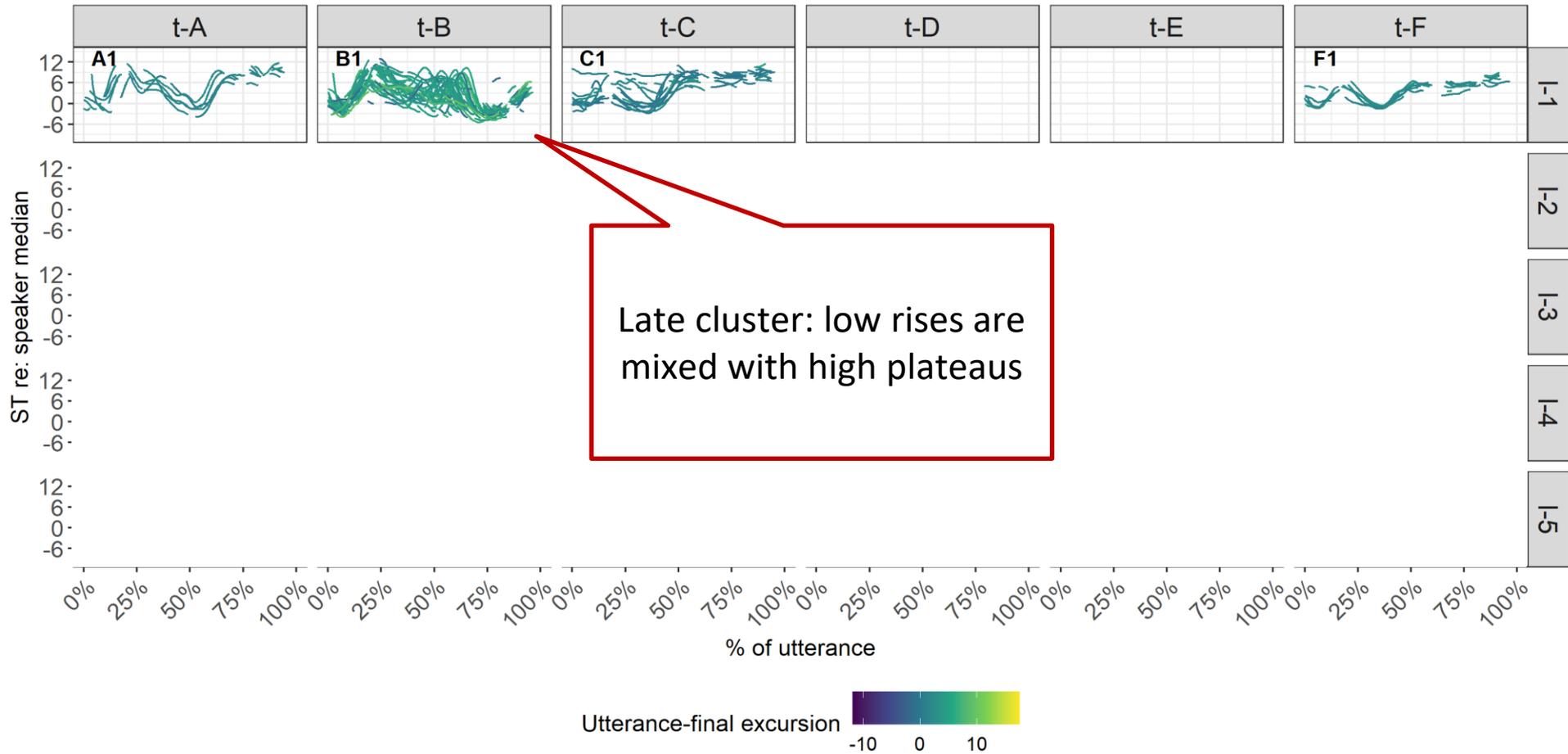
All clusters: overview



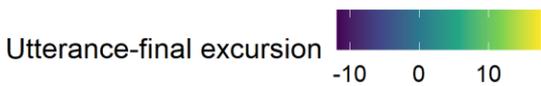
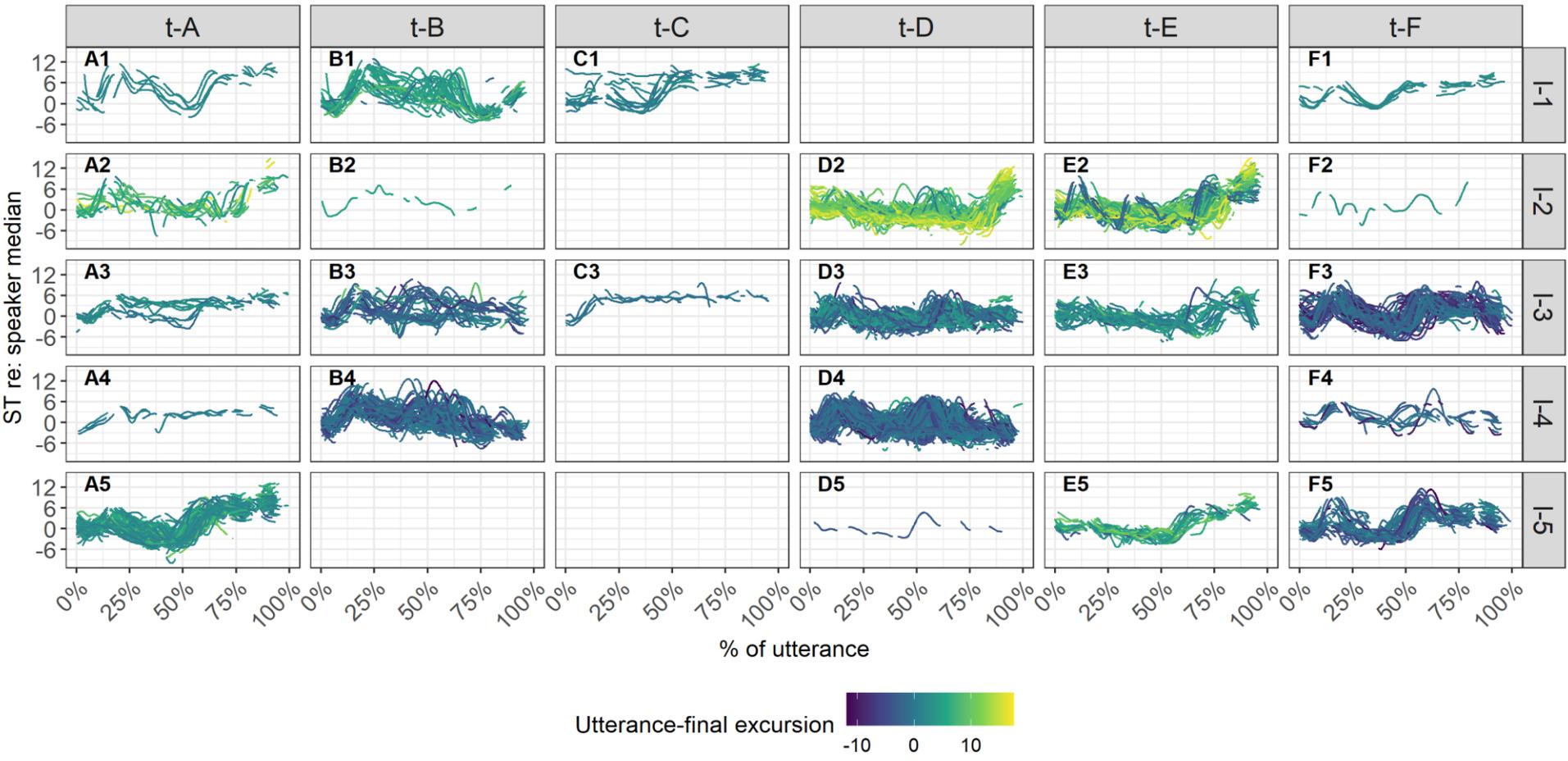
All clusters: overview



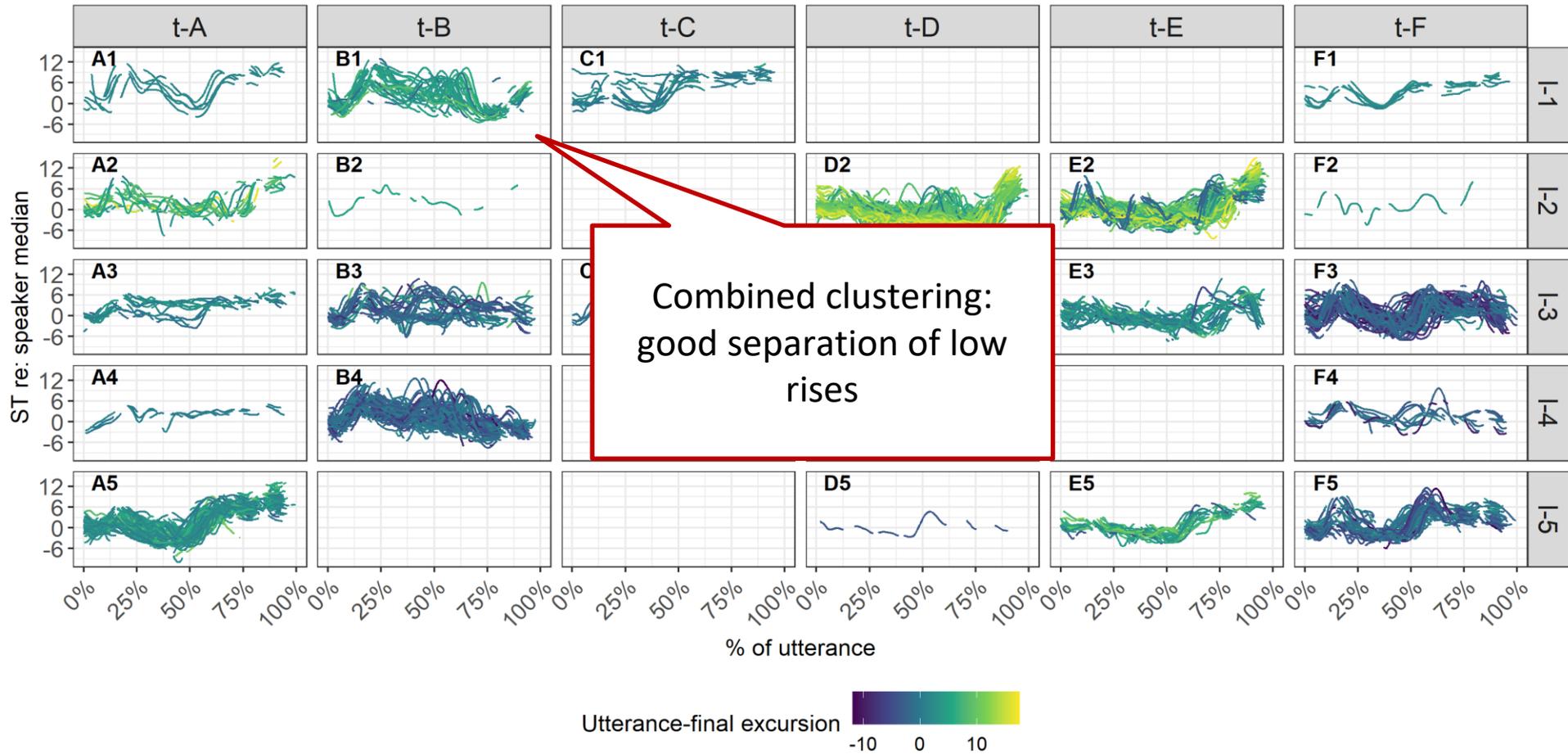
All clusters: overview



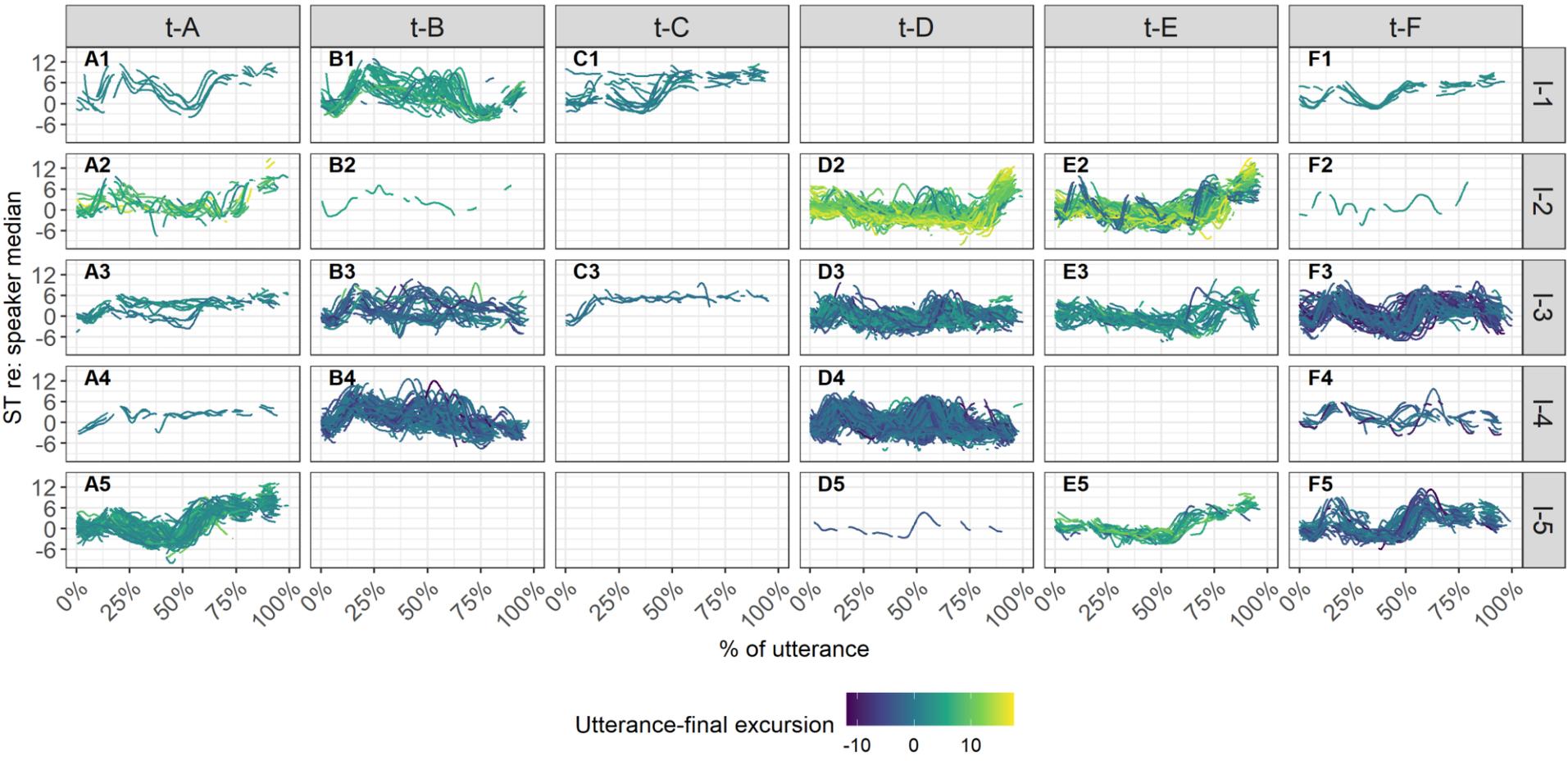
All clusters: overview



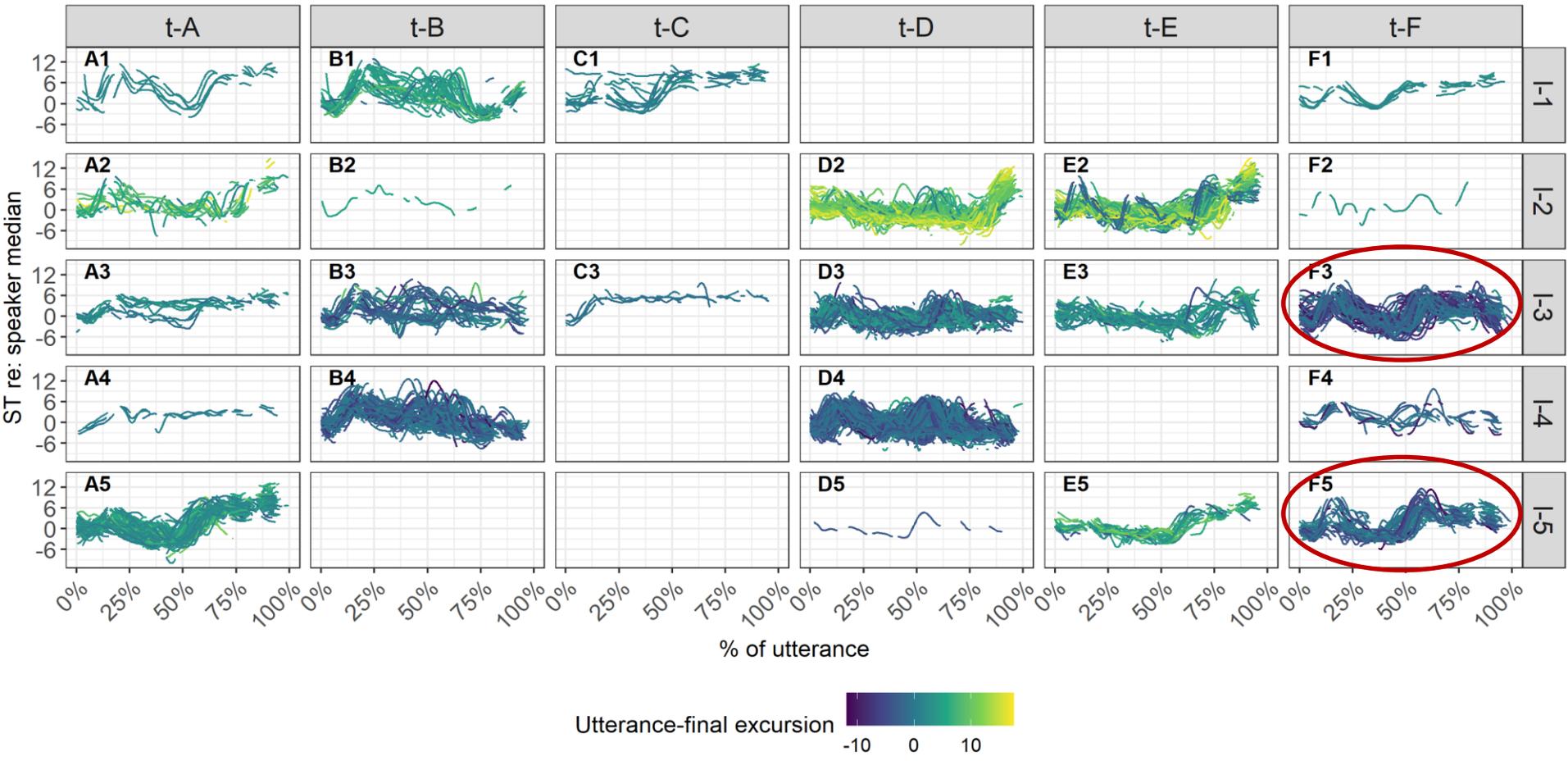
All clusters: overview



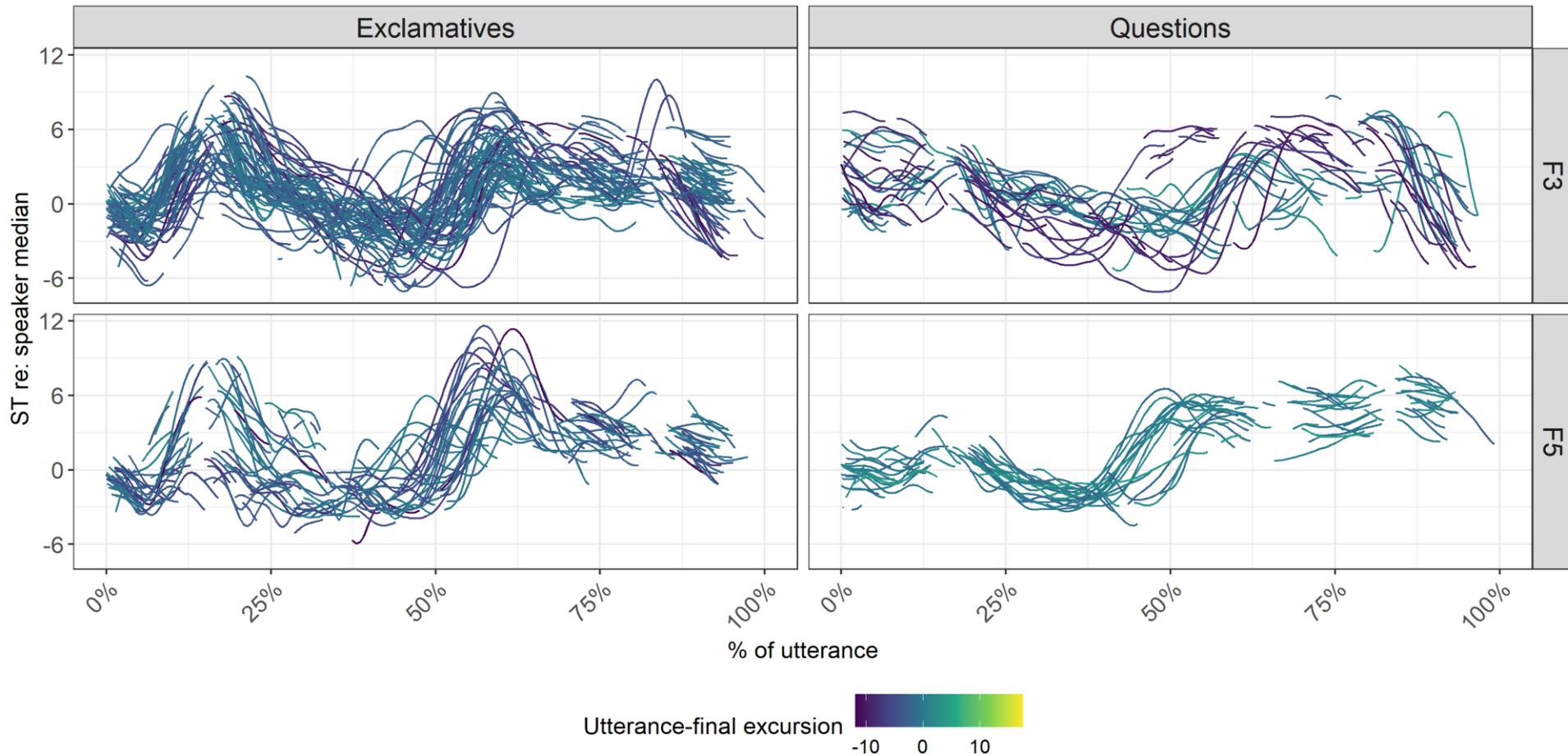
All clusters: overview



All clusters: overview

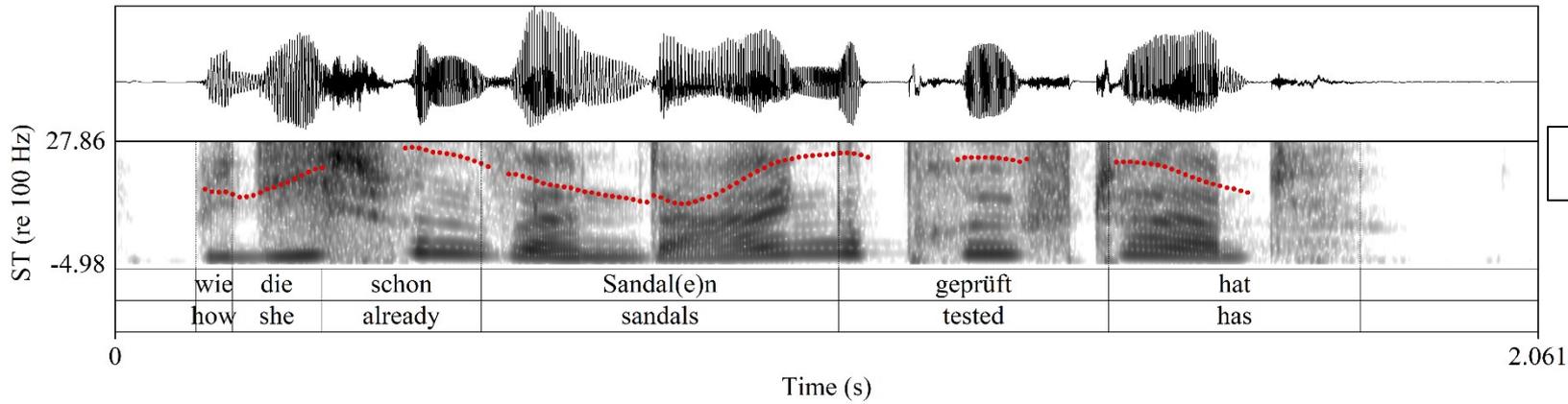


Zooming in on the plateaus

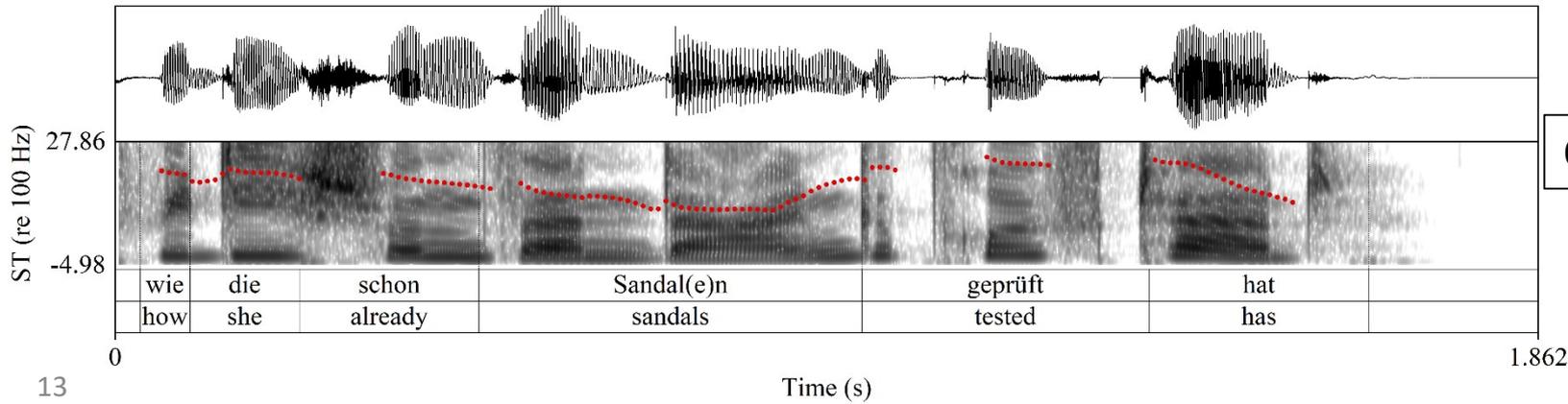


Cluster F3

- F3 contains many **late falls**:



Exclamative



Question



- The late falls are best analyzed as **H-L%**.

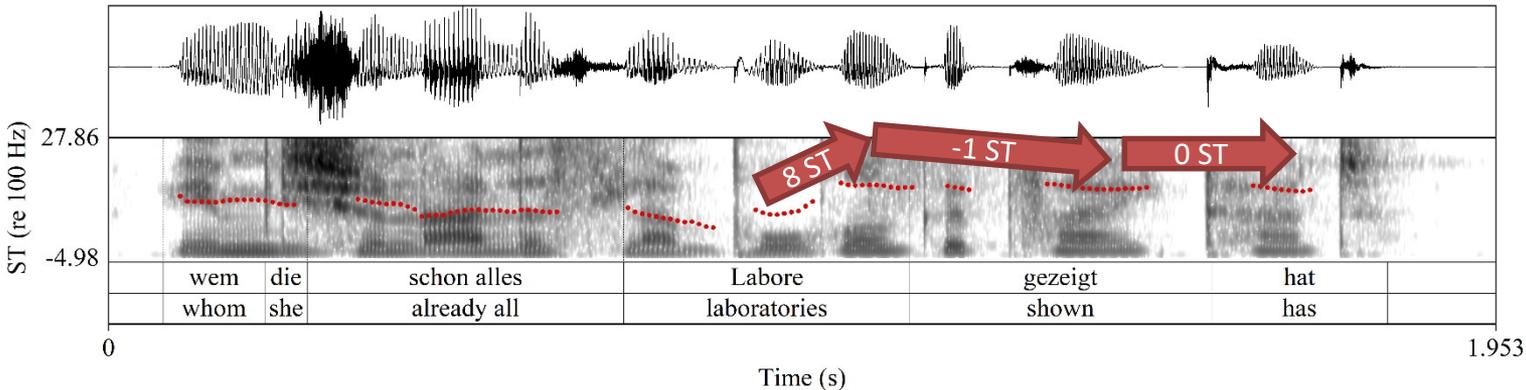
- **L*+H L-%** does not fit:

Rise-Fall (Late Peak)	2	L*+H L-%		Self-evident assertion	Das WEISS ich SCHON! ⁶ <i>I already know that!</i>
				Emotionally committed or sarcastic assertion	Der Blick ist ja F abelhaft! ³ <i>The view is fantastic!</i>

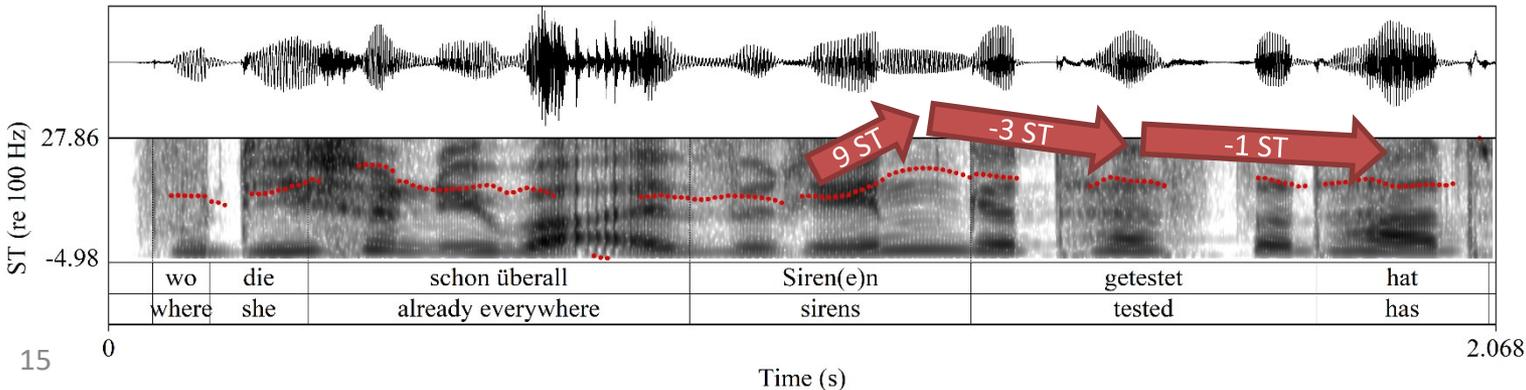
from Grice, Baumann & Benz Müller (2005)

- Expectation: low & level pitch on final syllable
- Observation: fall aligned with final syllable
- The plateaus cover up to three syllables.
- **Two** high pitch targets: one following the accent (part of the accent), one on the last syllable (part of the boundary tone)

- Questions: high plateaus without upstep



- Exclamatives: half-completed falls



- Questions: **H-%** (NB: corresponds to MAE-ToBI H-L%)
 - Auditory impression: not a continuation rise
 - Recent research: H-% occurs commonly in polar rhetorical questions (Braun et al., 2019) and negated questions with low negation (Arnhold et al., 2021).
 - H-% vs. H-^H%: different functional load than H-% vs. L-%?

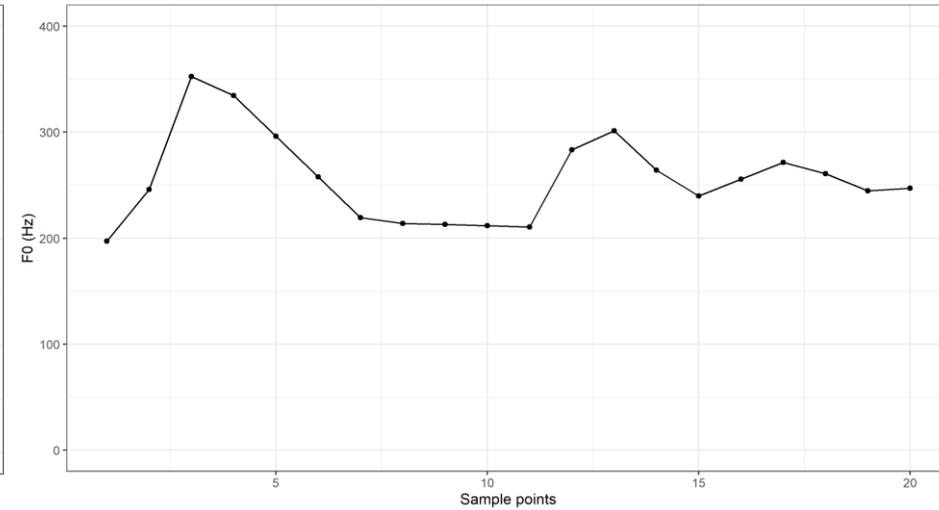
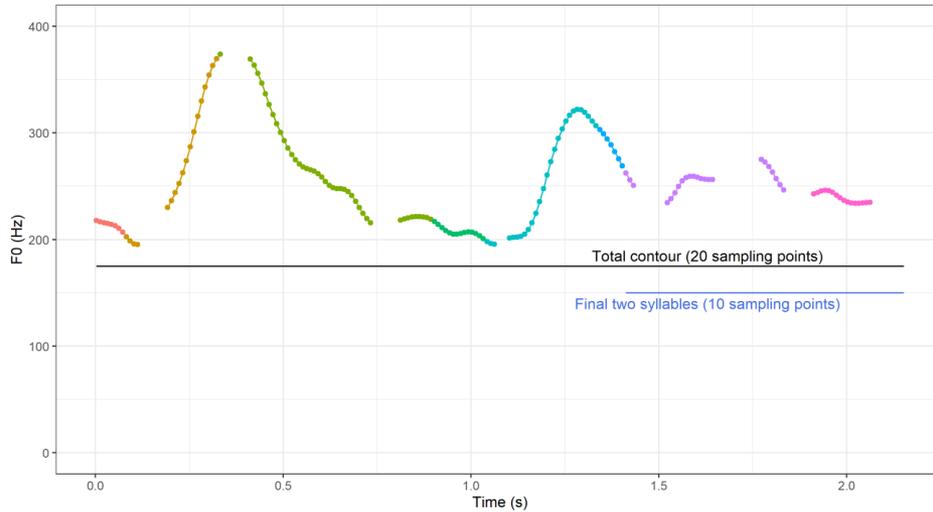
Level	4	(L+)H* H-(%)		Incompleteness (Biased?) questions Ritual expression	ANdererSEITS... ⁶ <i>But then again...</i> Guten MOR gen! ³ <i>Good morning!</i>
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- Exclamatives: most contours *look* like **L+H* !H-%**.
- Tonal sequence of the calling contour
- Auditory impression: not the calling contour
- Instead, speakers sound **amazed** or **impressed** (connection to meaning of exclamatives?)
- Alternatively: calling contour has been connected with **routine** by Ladd (1978).
- Target utterances were all about **repeated occurrences**.

- Cluster analysis can be successfully applied to F0 contours.
- It can help find **contour types** that might otherwise go **unnoticed**.
- In this case study on German *wh*-structures, we found several subtypes of **high plateaus**.
- These plateaus seem to have forms and/or intonational meanings that go beyond the core GToBI inventory.

- Arnhold, Anja, Bettina Braun & Maribel Romero. 2021. Aren't prosody and syntax marking bias in questions? *Language and Speech* 64. 141–180.
- Braun, Bettina, Nicole Dehé, Jana Neitsch, Daniela Wochner & Katharina Zahner. 2019. The prosody of rhetorical and information-seeking questions in German. *Language and Speech* 62. 779–807.
- Grabe, Esther. 1998. *Comparative Intonational Phonology: English and German*. Nijmegen: Katholieke Universiteit Nijmegen.
- Grice, Martine & Stefan Baumann. 2002. Deutsche Intonation und GToBI. *Linguistische Berichte* 191. 267–298.
- Grice, Martine, Stefan Baumann & Ralf Benz Müller. 2005. German intonation in autosegmental-metrical phonology. In Sun-Ah Jun (ed.), *Prosodic Typology: The Phonology of Intonation and Phrasing*, 55–83. Oxford: Oxford University Press.
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- Ladd, D. Robert. 1978. Stylized intonation. *Language* 54. 517–539.
- Niebuhr, Oliver. 2013. Resistance is futile – the intonation between continuation rise and calling contour in German. In *Proceedings of INTERSPEECH 2013*, 225–229.
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Stylization of contours: example



- **Agglomerative** cluster analysis (bottom-up)
- **Complete linkage** as linkage criterion
- **Speaker-standardization** is required.
- Clustered objects must be of the **same length**: F0 contour is **interpolated** (resolution of interpolation can be specified).
- The number of measures per contour can be specified.